

Patent  
Attorney's Docket No. 000270-012

**UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
Steven L. STICE et al. ) Group Art Unit: Unassigned  
Application No.: 08/888,057 ) Examiner: Unassigned  
Filed: July 3, 1997 )  
For: CLONING PIGS USING DONOR NUCLEI )  
FROM DIFFERENTIATED CELLS )

**TRANSMITTAL OF VERIFIED STATEMENT CLAIMING  
SMALL ENTITY STATUS - NONPROFIT ORGANIZATION  
AND  
REQUEST FOR REFUND**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a Verified Statement (Declaration) Claiming Small Entity Status,  
duly executed by the Assignee of the above-identified patent application.

It is respectfully requested that one-half of the original filing fee filed on July 3, 1997, in  
the amount of \$531.50, be refunded to Applicant and deposited in Deposit Account No. 02-4800.

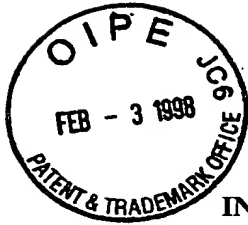
Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Richard C. Ekstrom  
Richard C. Ekstrom  
Registration No. 37,027

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: February 3, 1998



Sector 7

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**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

**BOX: MISSING PART**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.  
§ 1.53(e) dated December 3, 1997, enclosed please find:

- [x] a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of [x] \$65.00 [ ] \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- [ ] Note that the inventor(s) identified on the currently filed Combined Declaration and Power of Attorney are different than listed on the application filing papers.
- [x] a Declaration Claiming Small Entity Status, and a Request for Refund;
- [ ] a Petition for Extension of Time;
- [ ] a verified English translation of the Application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k);
- [x] an Assignment document and the \$40.00 Assignment Recording Fee;
- [ ] other \_\_\_\_\_;
- [x] a check in the amount of \$ 105.00 for the fee due; and
- [ ] charge \$ \_\_\_\_\_ to Deposit Account No.02-4800 for the fee due.

Transmittal Letter for Missing Parts of Application

Application No. 08/888,057

Attorney's Docket No. 000270-012

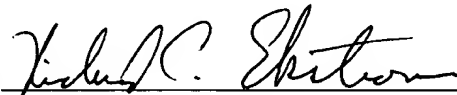
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The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in triplicate.

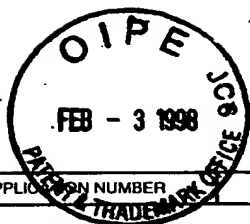
Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By:   
Richard C. Ekstrom  
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Date: February 3, 1998



## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/888,057	07/03/97	STICE	S 000270-012

0262/1203  
BURNS DOANE SWECKER AND MATHIS  
P O BOX 1404  
ALEXANDRIA VA 22313-1404

NOT ASSIGNED

1804

DATE MAILED:

12/03/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 13000 for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

**If all required items on this form are filed within the period set above, the total amount owed by applicant as a**  
☐ large entity ☐ small entity (verified statement filed), is \$ 13000.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☒ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(b)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

OIPE

Customer Service Center  
Initial Patent Examination Division (703) 308-1202